

20 OCT 2003

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: STULC ET AL	Examiner: UNKNOWN
Serial No.: 10/612,443	Group Art Unit: 1756
Filed: JUNE 30, 2003	Docket No.: SAM0011/US
For: ORGANOSOLS COMPRISING A CHROMOPHORE, METHODS AND USES	TOTAL DOCUMENTS FAXED: 3

Office of Initial Patent Examination
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, V.A. 22313

I CERTIFY THAT, ON OCTOBER 20, 2003, THE LISTED DOCUMENTS
ARE BEING TRANSMITTED VIA FACSIMILE TO FAX NUMBER 703-746-
9195 ATTENTION THE OFFICE OF INITIAL PATENT EXAMINATION'S
FILING RECEIPT CORRECTIONS, COMMISSIONER FOR PATENTS, P.O.
BOX 1450, ALEXANDRIA, VA 22313-1450.

Jamie A. Ebach
JAMIE A. EBACH

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir/Madam:

The above-identified Applicants respectfully request that the following correction be made to the data shown in the Filing Receipt.

The second named inventor's name should appear as: JULIE Y. QIAN

A copy of the filing receipt showing the requested correction is enclosed.

No fee is deemed necessary. Should any fee be required, the Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1775 and notify us of the same.

Respectfully Submitted,
Leonard J. Stulc et al.

Dated: October 20, 2003

By: *[Signature]*
Dale A. Bjorkman, Reg. No. 33,084



33072

PATENT TRADEMARK OFFICE

Phone: 651-275-9811

Facsimile: 651-351-2954

DAB/jac/9955



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
P.O. Box 1450
Alexandria, Virginia 22312-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/612,443	06/30/2003	1756	840	SAM0011/US		25 ✓	2 ✓

CONFIRMATION NO. 5440

Dale A. Bjorkman
Kagan Binder, PLLC
Maple Island Building, Suite 200
221 Main Street North
Stillwater, MN 55082

FILING RECEIPT



OC000000010944458

Date Mailed: 09/29/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Qian Leonard J. Stulc, Shafer, MN;
Julie Y. Qian, Woodbury, MN;
James A. Baker, Hudson, WI;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 09/29/2003

Projected Publication Date: 12/30/2004

Non-Publication Request: No

Early Publication Request: No

Title

Organosols comprising a chromophore, methods and uses

Preliminary Class

ENTERED

10/2/03 *gke*

430

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).